

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: CASTLE, et al.  
Appln. No.: 10/780,689  
Filed: February 17, 2004  
For: System For Controlling Motor  
Speed By Altering Magnetic Field  
of the Motor

Examiner:

Art Unit:

Attorney Docket No: 10541-1986

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement including One Sheet of Form PTO-1449  
☒ Return Receipt Postcard

Fee calculation and payment:

- ☒ No additional fee is required.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

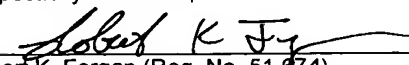
|   |                                  |       |                                 | Small Entity  |         | or        | Other Than Small Entity |           |
|---|----------------------------------|-------|---------------------------------|---------------|---------|-----------|-------------------------|-----------|
|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra | Rate    | Add'l Fee | Rate                    | Add'l Fee |
| Total                                     |                                  | Minus |                                 |               | x \$9=  |           | x \$18=                 |           |
| Indep.                                    |                                  | Minus |                                 |               | x 43=   |           | x \$86=                 |           |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               | +\$145= |           | +\$290=                 |           |
|   |                                  |       |                                 |               | Total   | \$        | Total                   | \$        |

Fee calculation and payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.  
☐ Please charge Deposit Account No. 06-1500 (VISTEON GLOBAL TECHNOLOGIES, INC.) in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500. A copy of this Transmittal is enclosed for this purpose.

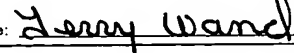
Respectfully submitted,

4/7/04  
Date

  
Robert K. Fergan (Reg. No. 51,674)  
Attorney/Agent for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: 4-8-04 Name: Terry Wand Signature: 

BRINKS  
HOFFER  
GILSON  
& LIONE

BRINKS HOFFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610



UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

| No.       | Date of Publication | Patentee/Applicant/Assignee |
|-----------|---------------------|-----------------------------|
| 3,753,068 | 08/14/1973          | Walker, Jr.                 |
| 4,782,257 | 11/01/1988          | Secher et al.               |
| 4,940,035 | 07/10/1990          | Waring                      |
| 5,135,353 | 08/04/1992          | Westhoff et al.             |
| 5,505,180 | 04/09/1996          | Otterman et al.             |
| 5,579,738 | 12/03/1996          | Frischmuth et al.           |
| 5,672,925 | 09/30/1997          | Lipo et al.                 |
| 5,715,797 | 02/10/1998          | Minagawa et al.             |
| 5,723,928 | 03/03/1998          | Imai et al.                 |
| 5,825,113 | 10/20/1998          | Lipo et al.                 |
| 6,373,162 | 04/16/2002          | Liang et al.                |
| 6,531,799 | 03/11/2003          | Miller                      |
| 6,541,887 | 04/01/2003          | Kawamura                    |
| 6,563,248 | 05/13/2003          | Fujita                      |

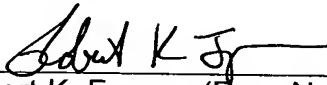
A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. § 1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

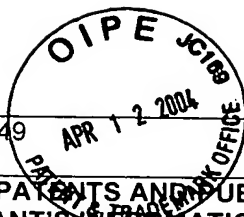
Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

4/2/04  
Date

  
Robert K. Fergan (Reg. No. 51,674)  
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (one sheet)



|   |                                  |                        |
|---|----------------------------------|------------------------|
| FORM PTO-1449   | APPLICATION NO.<br>10/780,689    | CASE NO.<br>10541-1986 |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>February 17, 2004 | ART UNIT               |
| APPLICANT(S): Castle, et al.  |                                  |                        |

| REFERENCE DESIGNATION |  | U.S. PATENT DOCUMENTS |            |                   |                    |                |
|-----------------------|--|-----------------------|------------|-------------------|--------------------|----------------|
| EXAMINER<br>INITIAL   |  | DOCUMENT<br>NUMBER    | DATE       | NAME              | CLASS/<br>SUBCLASS | FILING<br>DATE |
|                       |  | 3,753,068             | 08/14/1973 | Walker, Jr.       |                    |                |
|                       |  | 4,782,257             | 11/01/1988 | Secher et al.     |                    |                |
|                       |  | 4,940,035             | 07/10/1990 | Waring            |                    |                |
|                       |  | 5,135,353             | 08/04/1992 | Westhoff et al.   |                    |                |
|                       |  | 5,505,180             | 04/09/1996 | Otterman et al.   |                    |                |
|                       |  | 5,579,738             | 12/03/1996 | Frischmuth et al. |                    |                |
|                       |  | 5,672,925             | 09/30/1997 | Lipo et al.       |                    |                |
|                       |  | 5,715,797             | 02/10/1998 | Minagawa et al.   |                    |                |
|                       |  | 5,723,928             | 03/03/1998 | Imai et al.       |                    |                |
|                       |  | 5,825,113             | 10/20/1998 | Lipo et al.       |                    |                |
|                       |  | 6,373,162             | 04/16/2002 | Liang et al.      |                    |                |
|                       |  | 6,531,799             | 03/11/2003 | Miller            |                    |                |
|                       |  | 6,541,887             | 04/01/2003 | Kawamura          |                    |                |
|                       |  | 6,563,248             | 05/13/2003 | Fujita            |                    |                |
|                       |  |                       |            |                   |                    |                |
|                       |  |                       |            |                   |                    |                |
|                       |  |                       |            |                   |                    |                |

| FOREIGN PATENT DOCUMENTS |  |                    |      |         |                    |                       |
|--------------------------|--|--------------------|------|---------|--------------------|-----------------------|
| EXAMINER<br>INITIAL      |  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
|                          |  |                    |      |         |                    |                       |
|                          |  |                    |      |         |                    |                       |
|                          |  |                    |      |         |                    |                       |
|                          |  |                    |      |         |                    |                       |

| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|---------------------|--|--|
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|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.